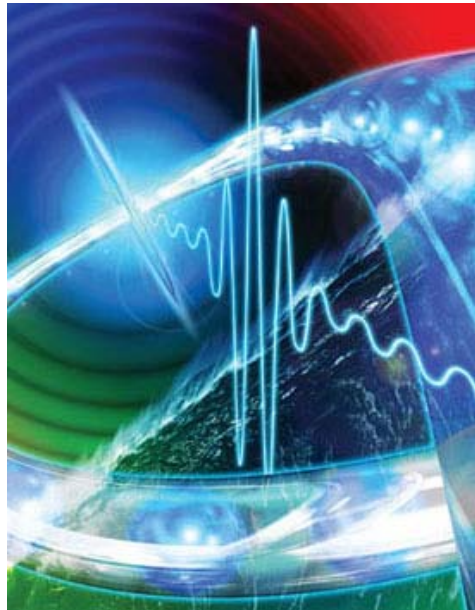


ROGUE WAVES IN OCEANIC TURBULENCE



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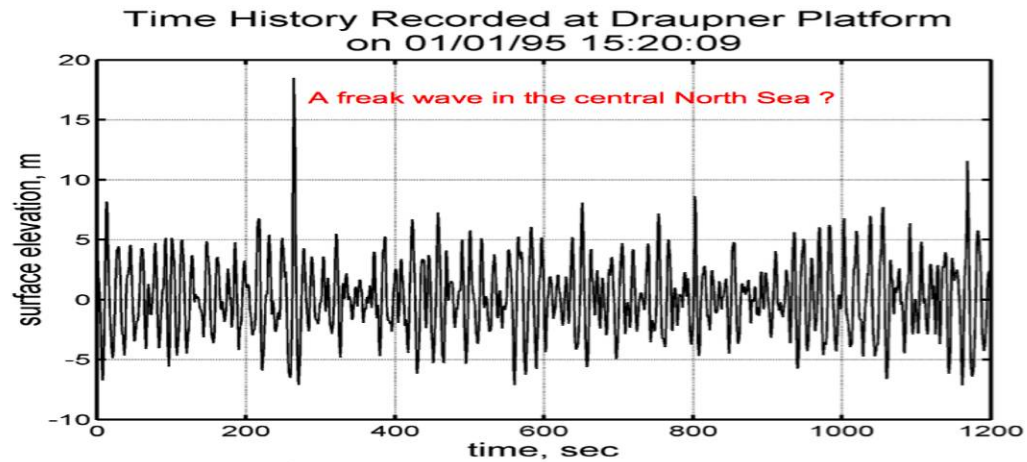
Rogue waves



Freak waves



DRAUPNER EVENT JANUARY 1995



$$H_{\max} = 25.6 \text{ m} !$$

Extremely rare event
according to Gaussian
model!!!

But they still occur in open
ocean !



ROGUE WAVES

Rare events of a normal population
(bad day at the tower)
or
typical events of a special population ?
(need of new physics)



**ANSWER hidden in the statistical structure of
weakly nonlinear random waves**

MAIN RESULT

Crest exceedance for weakly nonlinear random fields

$$\Pr[\text{crest} > h] \propto \int_h^\infty \frac{S}{2\pi} \sqrt{\partial_{tt} F \cdot \partial_{ss} F} \frac{d^2 \Pr(\eta > z)}{dh^2} dz$$

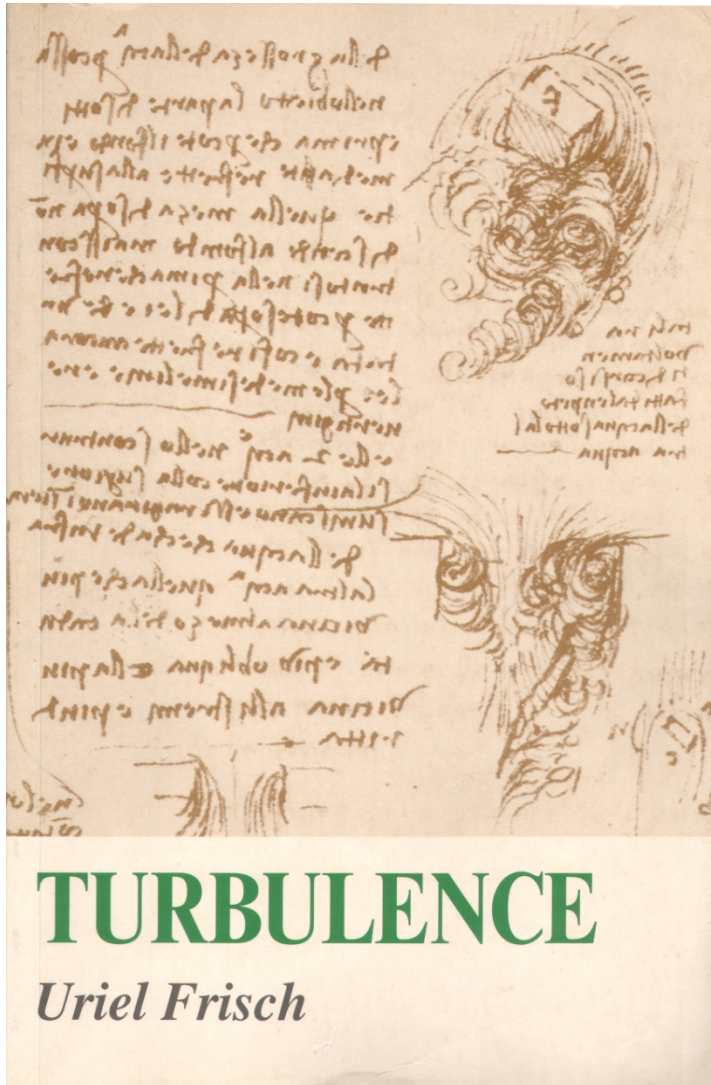
COROLLARY

the Generalized Tayfun distribution (GT) for the oceanic turbulence of Zakharov

$$\Pr(\text{crest} > Z) = \exp\left[-\frac{\left(-1 + \sqrt{1 + 2\mu Z}\right)^2}{2\mu^2}\right] \left[1 + \frac{\lambda_{40}}{24} Z^2 (Z^2 - 3)\right]$$

MY CONTRIBUTION

CONCEPT OF NONLINEAR STOCHASTIC WAVE GROUP
(Nonlinear Slepian model)



TURBULENCE

Uriel Frisch

1.1 Turbulence and symmetries

In Chapter 41 of his *Lectures on Physics*, devoted to hydrodynamics and turbulence, Richard Feynman (1964) observes this:

Often, people in some unjustified fear of physics say you can't write an equation for life. Well, perhaps we can. As a matter of fact, we very possibly already have the equation to a sufficient approximation when we write the equation of quantum mechanics:

$$H\psi = -\frac{\hbar}{i} \frac{\partial \psi}{\partial t}. \quad (1.1)$$

Of course, if we only had this equation, without detailed observation of biological phenomena, we would be unable to reconstruct them. Feynman believes, and this author shares his viewpoint, that an analogous situation prevails in *turbulent* flow of an incompressible fluid. The equation, generally referred to as the Navier–Stokes equation, has been known since Navier (1823):

$$\partial_t \mathbf{v} + \mathbf{v} \cdot \nabla \mathbf{v} = -\nabla p + \nu \nabla^2 \mathbf{v}, \quad (1.2)$$

$$\nabla \cdot \mathbf{v} = 0. \quad (1.3)$$

It must be supplemented by initial and boundary conditions (such as the vanishing of \mathbf{v} at rigid walls). We shall come back later to the choice of notation.

Quantum version of the
The Nonlinear Schrödinger (NLS) equation
cousin
of
the Korteweg-de Vries Equation

$$i \frac{\partial u}{\partial t} + \frac{1}{2} \frac{\partial^2 u}{\partial \xi^2} + k|u|^2 u = 0$$

$$\frac{\partial u}{\partial t} + \frac{\partial^3 u}{\partial \xi^3} + ku \frac{\partial u}{\partial \xi} = 0$$

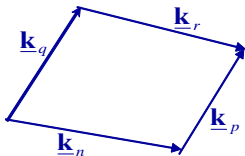
...START WITH STOKES EQUATIONS TO MODEL WAVE DYNAMICS

*... and by multiple scale perturbation method & MUCH MORE ...
 ...you get the WAVE TURBULENCE of Zakharov ...*

$$\eta(\underline{\mathbf{x}}, t) = \frac{1}{\pi} \sum_n \sqrt{\frac{\omega_n}{2g}} |B_n| \cos(\underline{\mathbf{k}}_n \cdot \underline{\mathbf{x}} + |\varphi_n|) +$$

$$+ \sum_{n,m} |B_n| |B_m| \left[\Gamma_{nm}^{(+)} \cos(\underline{\mathbf{k}}_{n+m} \cdot \underline{\mathbf{x}} + |\varphi_{n+m}|) + \Gamma_{nm}^{(-)} \cos(\underline{\mathbf{k}}_{n-m} \cdot \underline{\mathbf{x}} + |\varphi_{n-m}|) \right]$$

$$\frac{dB_n}{dt} + i\omega_n B_n = -i \sum_{p,q,r} T_{npqr} \delta_{n+p-q-r} B_p^* B_q B_r$$



Quartet interaction

 $\underline{\mathbf{k}}_n + \underline{\mathbf{k}}_p = \underline{\mathbf{k}}_q + \underline{\mathbf{k}}_r$

A sea of weakly dispersive nonlinear waves

*...moreover for deep water narrowband waves
the Zakharov equation reduces to the NLS equation...*

$$i \frac{\partial u}{\partial t} + \frac{1}{2} \frac{\partial^2 u}{\partial \xi^2} + k|u|^2 u = 0$$

INTEGRABILITY

Non-chaos

Nonlinear interaction of waves and solitons

NONLINEAR FOURIER ANALYSIS

NON-INTEGRABILITY

Chaos

PERIODIC ORBIT THEORY

*Turbulence: walk through a repertoire of
recurrent patterns- Cvitanović, GATECH*

Oceanic turbulence of
Zakharov



Nonlinear interactions of
'stochastic wave groups'?

Let's start from scratch GAUSSIAN SEAS

$$\eta(\mathbf{x}, t) = \sum_{j=1}^N a_j \cos(\mathbf{k}_j \bullet \mathbf{x} + \omega_j t + \varepsilon_j)$$

$$\eta(\mathbf{x}, t)$$

Gaussian, ergodic & stationary process of time & space

≡

RANDOM FIELDS

What happens in the neighborhood of a point \mathbf{x}_0 if a large crest is recorded in time at \mathbf{x}_0 ?

$$\{\eta(\mathbf{x}, t) | \eta(\mathbf{x}_0, t_0) = h\} = h \Psi(\mathbf{x} - \mathbf{x}_0, t - t_0) + \Delta$$

SPACE-TIME covariance

Δ random residual, h Rayleigh variable

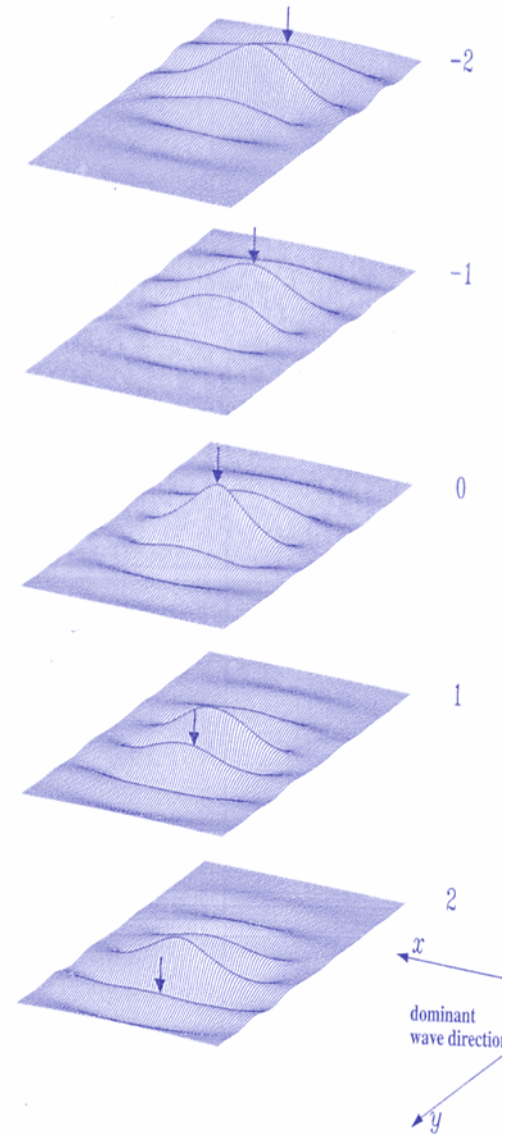
“stochastic wave group”

Boccotti 1989



“Slepian model”

Lindgren 1972, Adler 1981



Generic weakly nonlinear field *

$$\eta = \eta_1 + f(\eta_1) \quad f(\bullet) \text{ nonlinear}$$

$o(\varepsilon) \uparrow \quad o(\varepsilon^2) \uparrow$

Nonlinear Conditional process

$$\left\{ \eta \mid \eta(x_0, t_0) = h \right\} = \left\{ \eta \mid \eta_1(x_0, t_0) = h_1 \right\}$$

Difficult to solve ...

Easy ... nonlinear function of a
Gaussian stochastic group

Non-Gaussian stochastic group

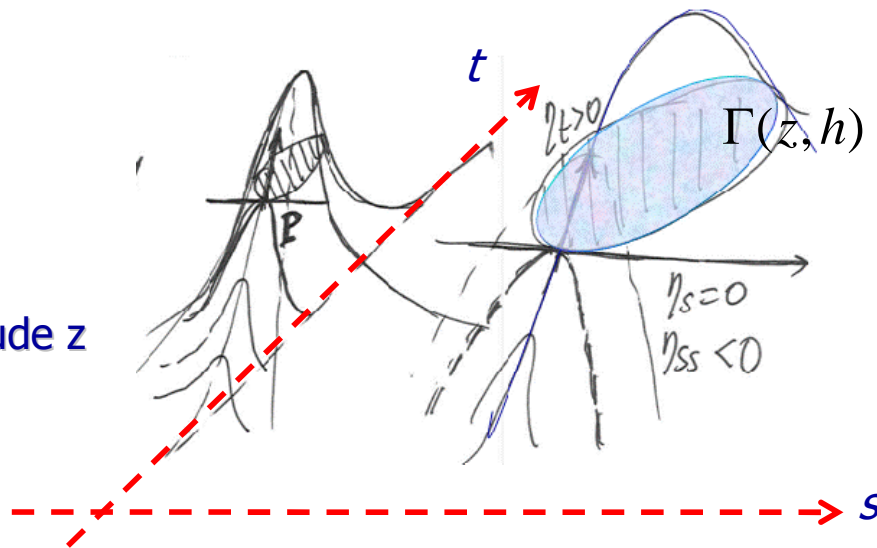
* **Fedele F.** 2008. Rogue waves in oceanic turbulence *Physica D* (in press)

$$S \Pr[\eta > h] = \int_h^\infty EX_{\max}(z) \Gamma(z, h) dz$$

stochastic wave group at focusing & Excursion set $\{\eta > h\}$

$EX_{\max}(z) =$

expected number of
maxima with amplitude z

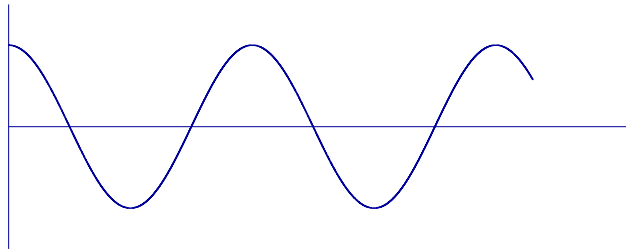


$$\Pr[\text{crest} > h] \propto \int_h^\infty EX_{\max}(z) dz = \int_h^\infty \frac{S}{2\pi} \sqrt{\partial_{tt} F \cdot \partial_{ss} F} \frac{d^2 \Pr(\eta > z)}{dh^2} dz$$

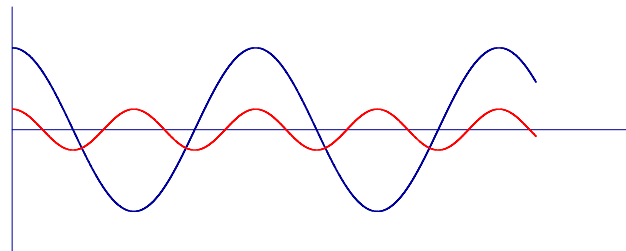
NONLINEAR EFFECTS TAKEN INTO ACCOUNT

Second order effects: **BOUND WAVES**

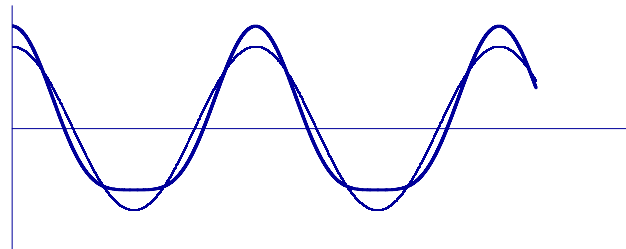
LINEAR TERM



LINEAR & NON-LINEAR TERMS



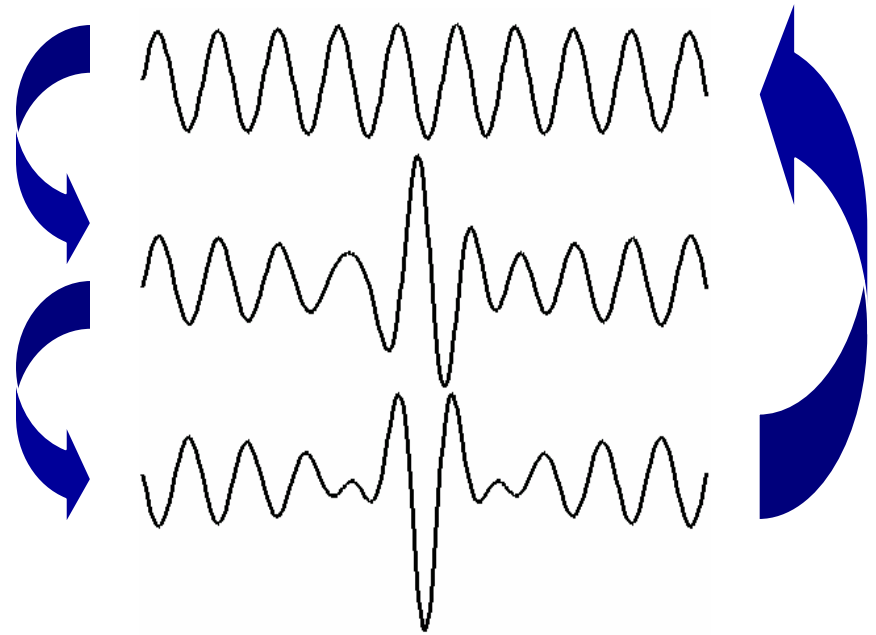
NON LINEAR WAVE



Crest-trough asymmetry

skewness > 0

Third order effects : **FREE WAVES**



Crest-trough symmetry : kurtosis > 3

**Benjamin-Feir Index:
BFI = steepness / bandwidth**

**DOMINANT ONLY IN
UNIDIRECTIONAL NARROWBAND SEAS !**

THE GENERALIZED TAYFUN (GT) DISTRIBUTION*

$$\Pr(\text{crestheight} > Z) = \exp \left[-\frac{\left(-1 + \sqrt{1 + 2\mu Z}\right)^2}{2\mu^2} \right] \left[1 + \frac{\lambda_{40}}{24} Z^2 (Z^2 - 3) \right]$$

Tayfun distribution

- **GT similar to the GRAM-CHARLIER (GC) approximation of Tayfun & Fedele, OE 2007**
- **GT stems from the Zakharov model with no priori assumptions on the statistical structures of the solution as in GC models**

steepness

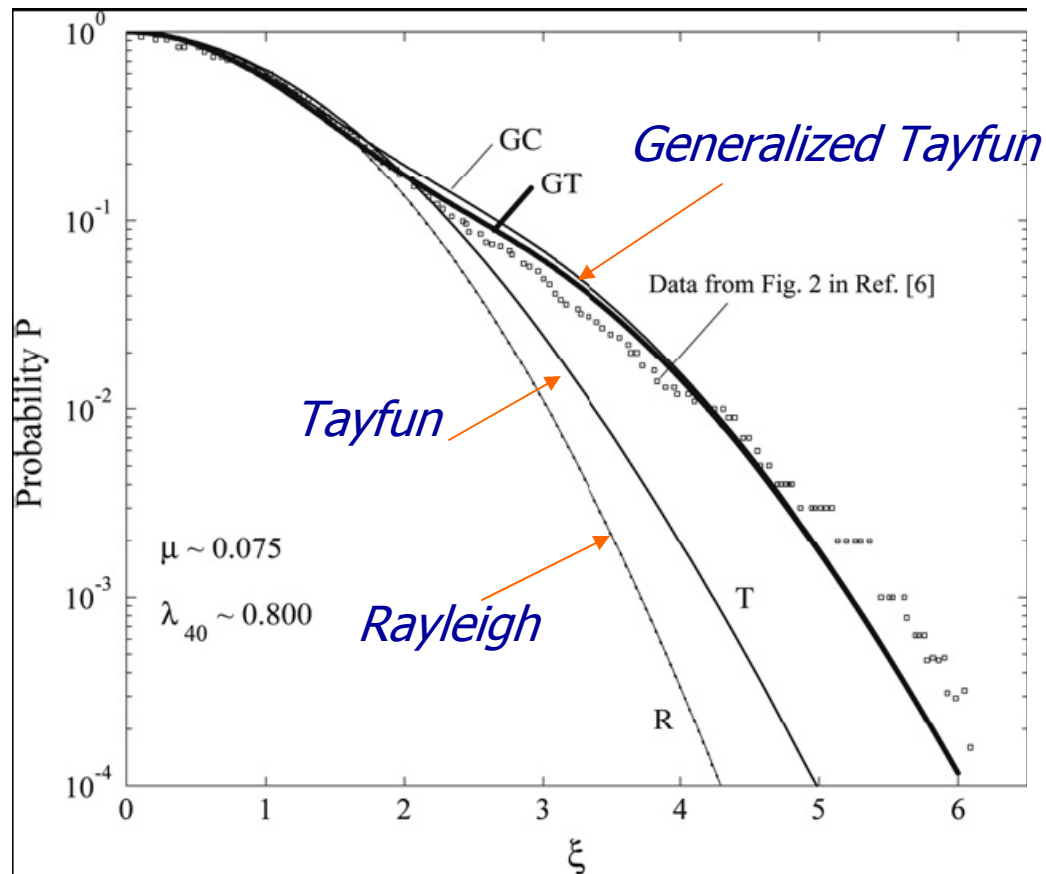
$$\mu \propto \int S_1 S_2 \left[k_1 + k_2 - |k_1 - k_2| \right] dk_{1,2}$$

kurtosis

$$\lambda_{40} \propto \int K_{12}^{34} S_1 S_2 S_3 \frac{1 - \cos(\Delta\omega_{1,2}^{3,4} t)}{\Delta\omega_{1,2}^{3,4} t} \delta_{12}^{34} dk_{1,2,3}$$

* **Fedele F.** 2008. Rogue waves in oceanic turbulence *Physica D* (in press)

WAVE FLUME DATA COMPARISONS* (Onorato et al. 2005)



**Benjamin-Feir Index
BFI=1.4**

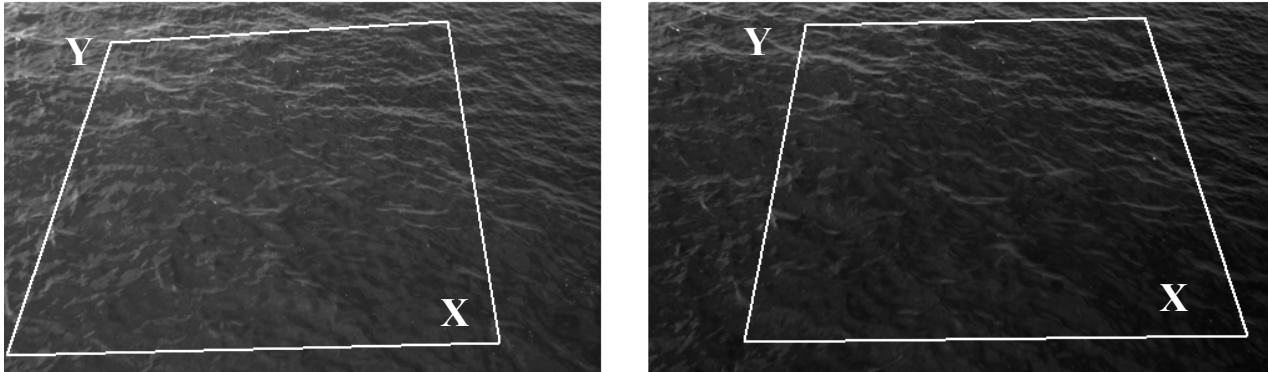
Steepness $\mu=0.075$

WHAT ABOUT REALISTIC OCEANIC CONDITIONS ?

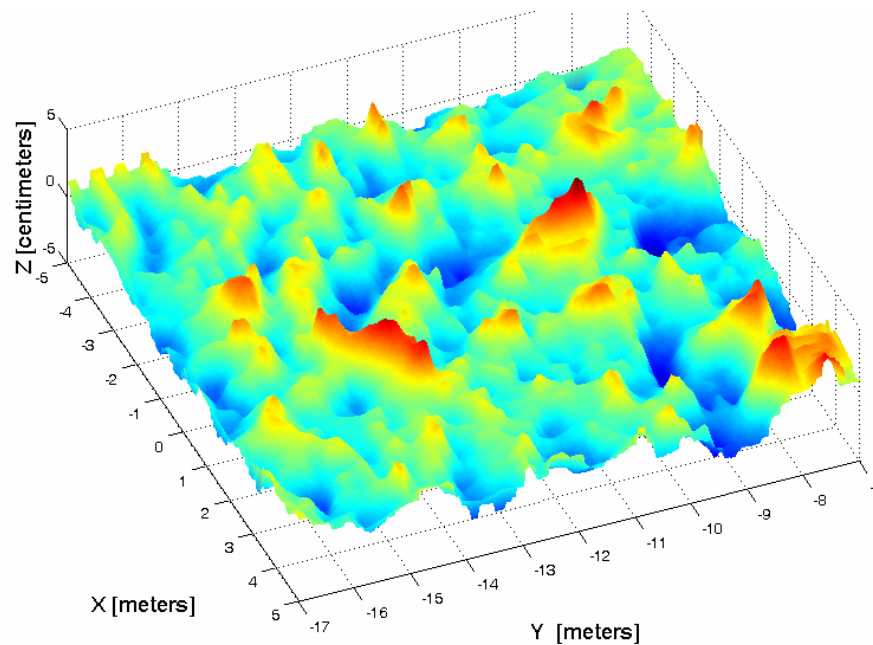
* Fedele F. 2008. Rogue waves in oceanic turbulence *Physica D* (in press)

VARIATIONAL WAVE ACQUISITION STEREO SYSTEM (VWASS)

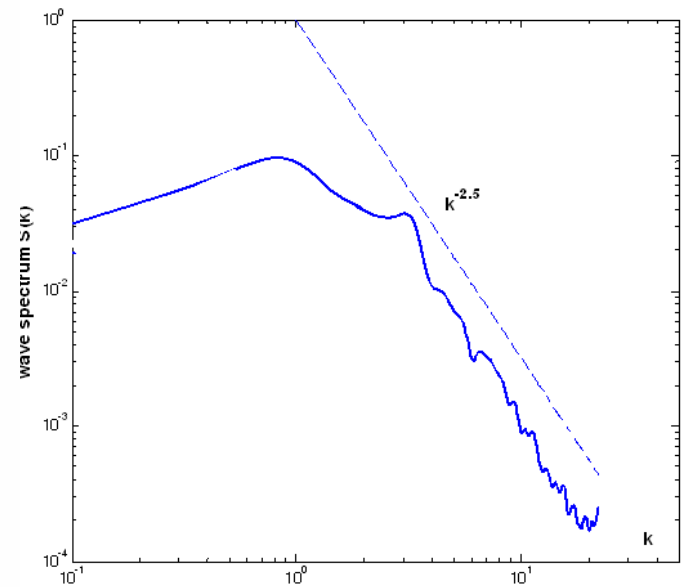
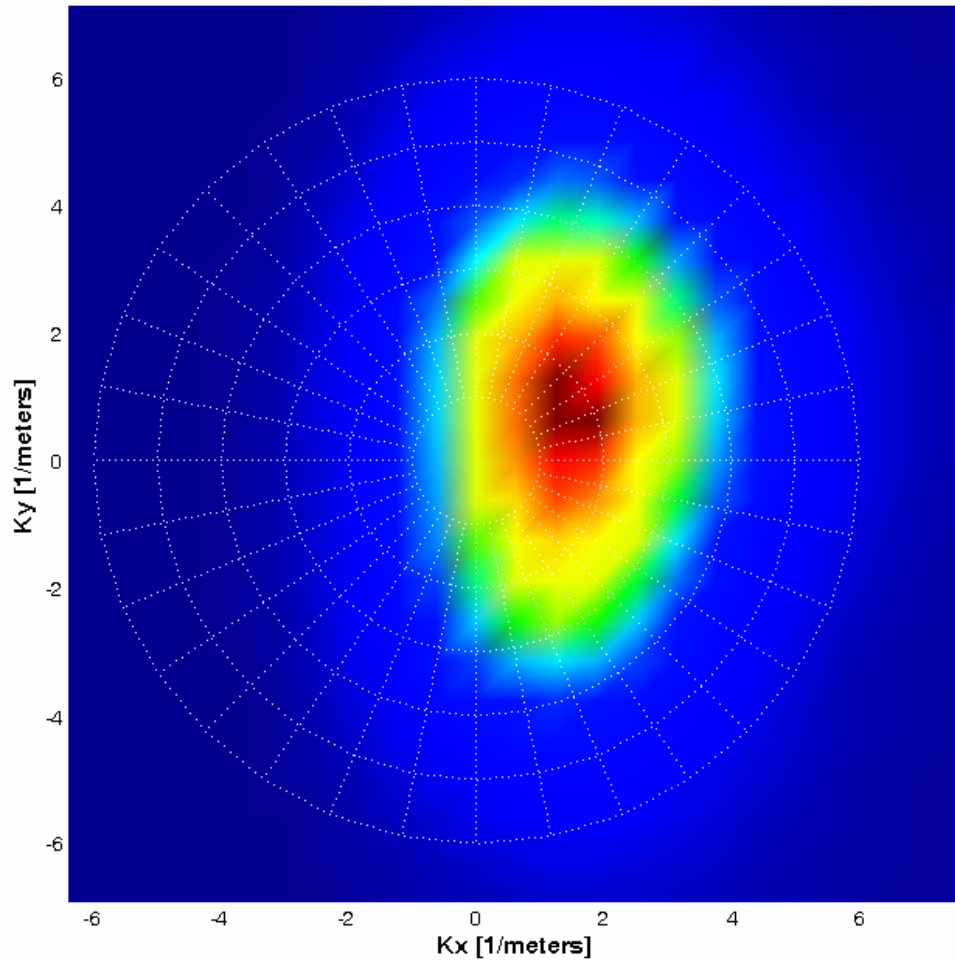
**Input stereo pair images. The rectangular domain (8 m x 8.7 m).
The height of the waves is in the range ± 0.2 cm.**



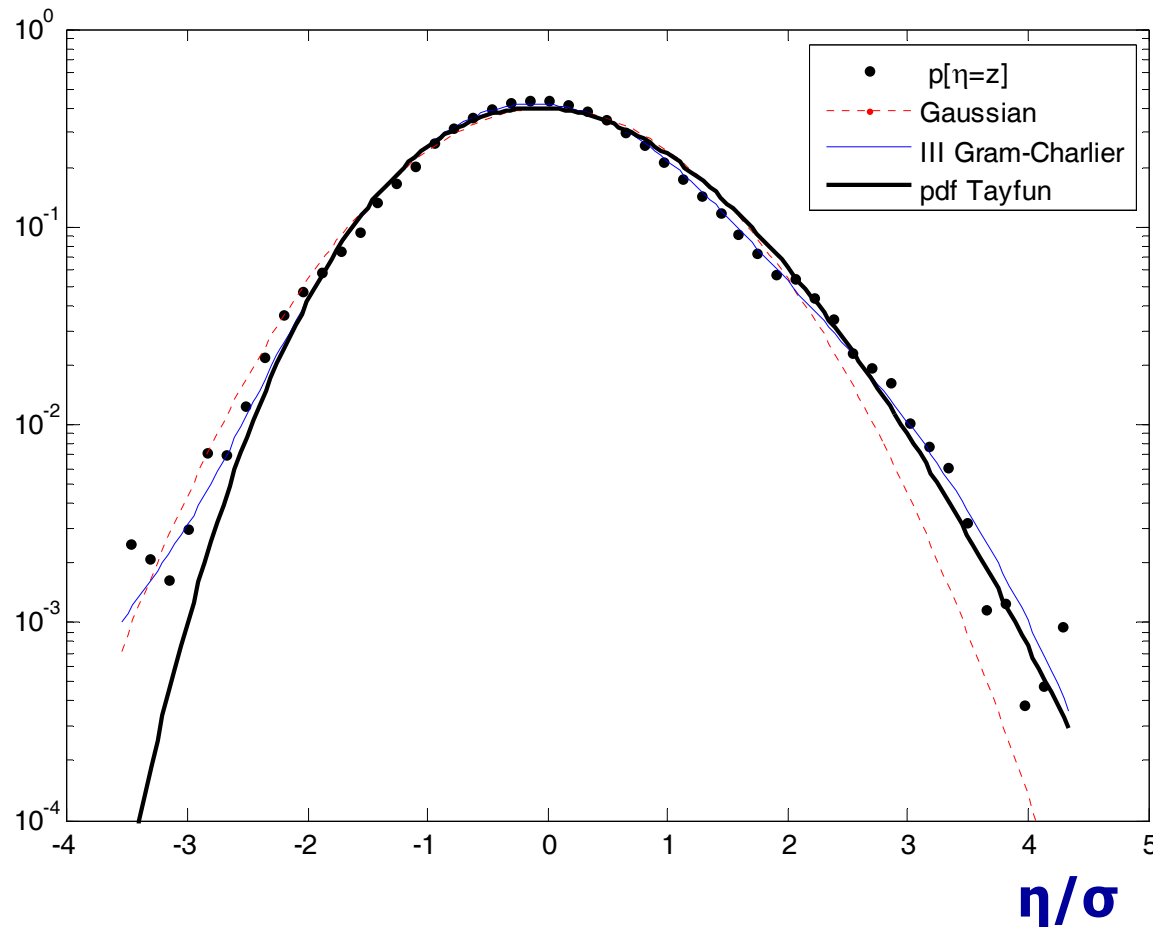
Reconstructed wave surface



PRELIMINARY RESULTS : wave statistics and spectra



PRELIMINARY RESULTS :Probability density function of wave surface



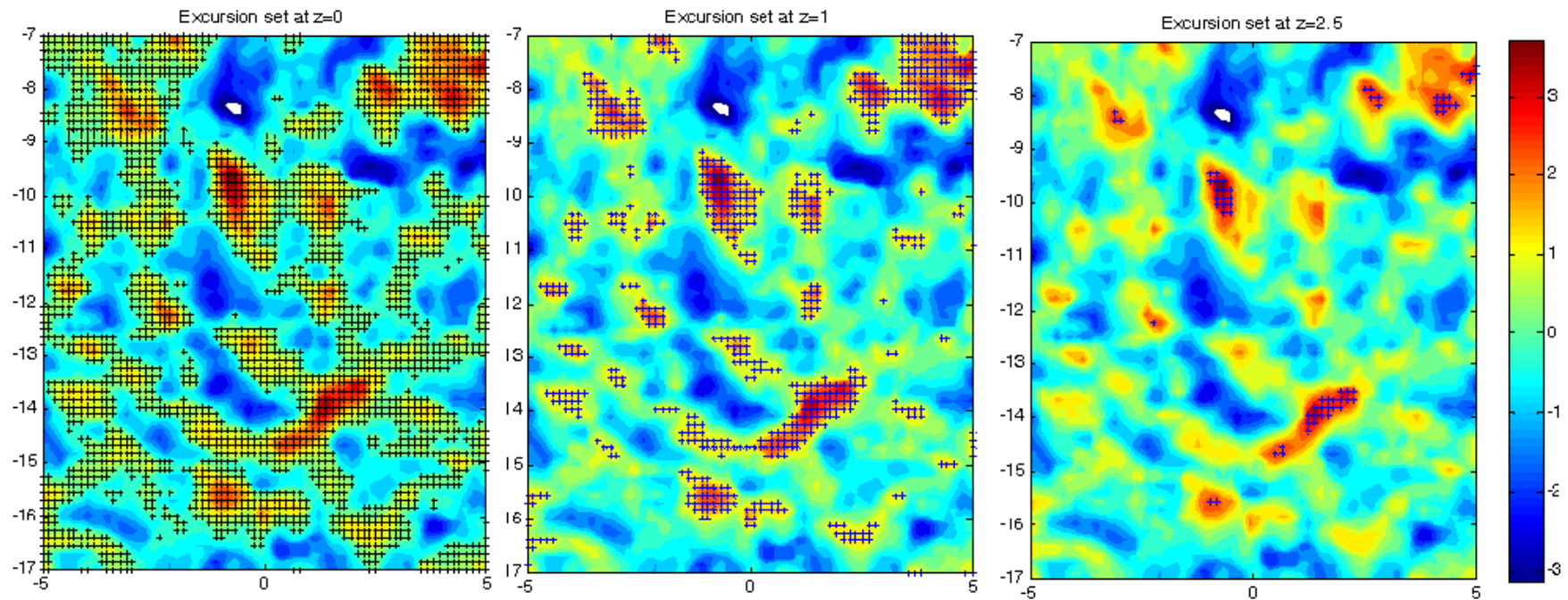
Generalized Tayfun \sim Tayfun

SECOND ORDER EFFECTS DOMINANT !!

BEYOND WAVES & SPECTRA: Euler Characteristic of excursion sets

The geometry of random fields
Adler (1981), Adler, Taylor & Worsley (2007)

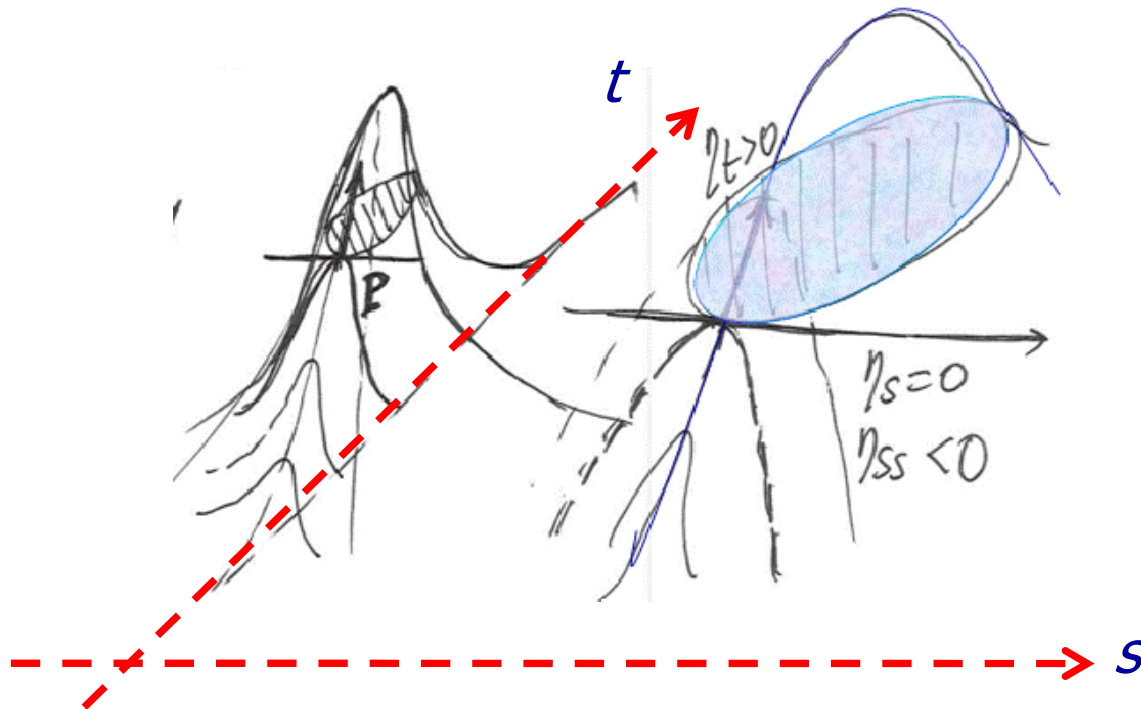
EC \equiv #connected components - # holes



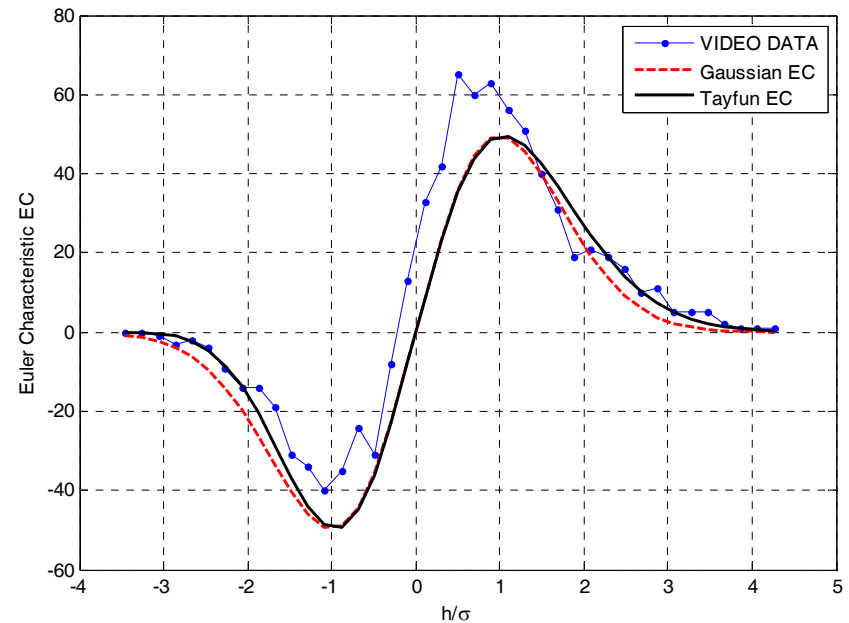
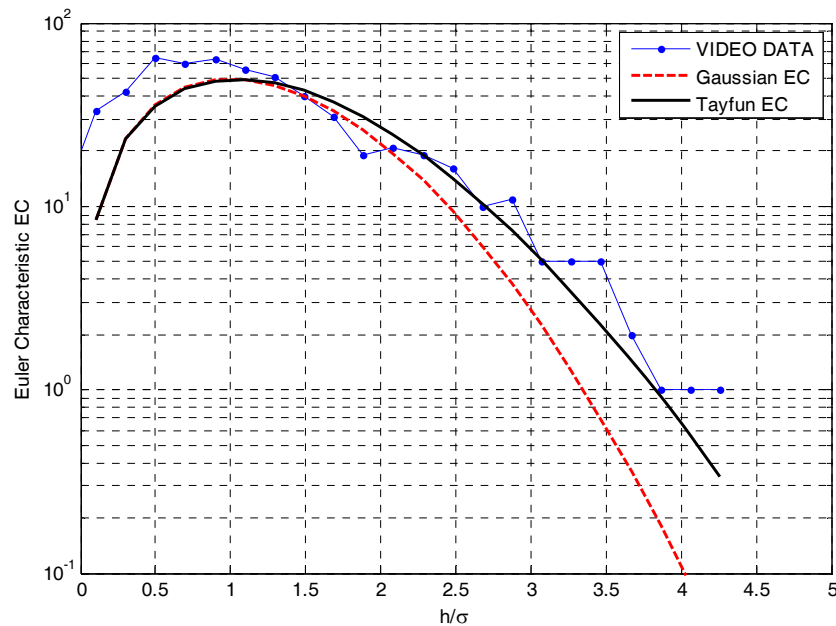
EC counts number of large maxima

EC counts also large 3D Upcrossings

**One-to-one correspondence between large maxima & 3D upcrossings
as in one dimensional stochastic processes**



Euler characteristic EC of nonlinear wave fields (Piterbarg-Tayfun model)

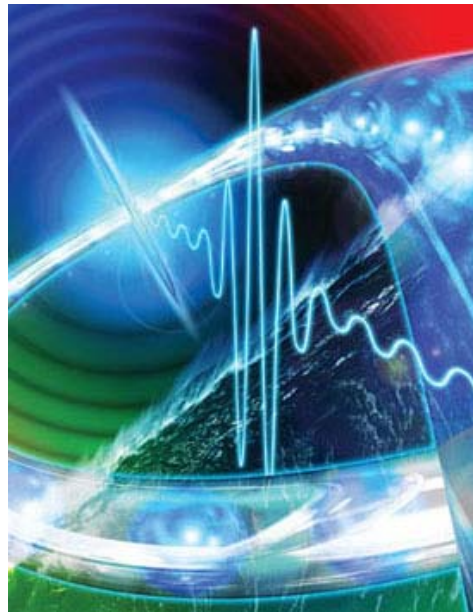


$$EC(h) = Area (2\pi)^{-3/2} |\Lambda|^{1/2} \frac{-1 + \sqrt{1 + 2\mu h}}{\mu} \exp \left[-\frac{(-1 + \sqrt{1 + 2\mu h})^2}{2\mu^2} \right]$$

$$\Pr \left[\max_{P \in S} \eta(P) > h \right] \approx EC(h)$$

CONCLUSIONS

- In open ocean rough waves appear to be simply rare events of normal populations
- For special wave conditions (unidirectional waves in wave flumes) third order resonant interactions are also dominant



ATMOSPHERIC BOUNDARY LAYER & WIND-WAVE INTERACTION

STEREO-VIDEO IMAGERY & HOT-WIRE/SONIC ANEMOMETRY EXPERIMENTS

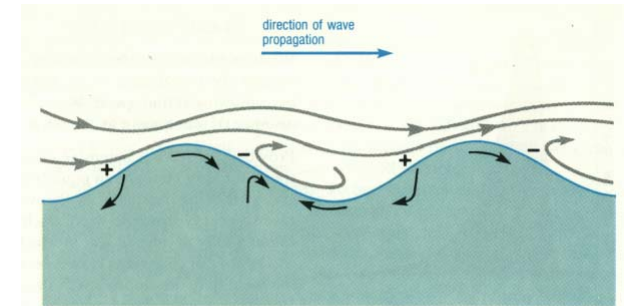
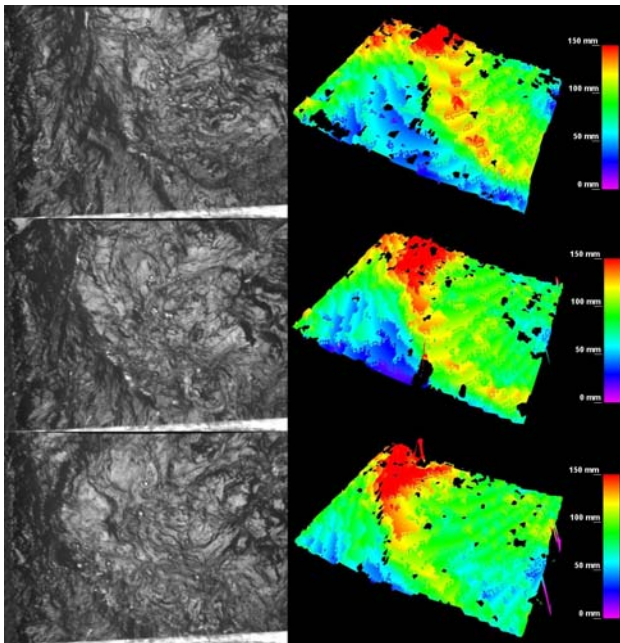


Figure 1.3 Jeffreys' 'sheltering' model of wave generation. Curved lines indicate air flow; short, straight arrows show water movement, which will be explained more fully in Section 1.2.1. The rear face of the wave against which the wind blows experiences a higher pressure than the front face, which is sheltered from the force of the wind. Air eddies are formed in front of each wave, leading to differences in air pressure. The excesses and deficiencies of pressure are shown by plus and minus signs respectively. The pressure difference pushes the wave along.

GLOBAL TEAM

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ROGUE WAVES, HURRICANE WAVES, GIANT WAVES, FREAK WAVES